

Commissioner name
Commissioner organisation code

Liverpool CCG
99A

Notes
Includes only those providers where sanctions of over £1,000 are triggered and applied for a particular standard in the period.
In respect of those Operational Standards shown in **bold italics**, the provisions of SC36.37A apply.
2016/17 Quarter 2

Ref	Schedule 4A Operational Standards	Threshold	AINTREE UNIVERSITY HOSPITAL NHS FOUNDATION TRUST	ALDER HEY CHILDREN'S NHS FOUNDATION TRUST	MERSEY CARE NHS TRUST	LIVERPOOL HEART AND CHEST HOSPITAL NHS FOUNDATION TRUST	LIVERPOOL WOMEN'S NHS FOUNDATION TRUST	LIVERPOOL COMMUNITY HEALTH NHS TRUST	ROYAL LIVERPOOL AND BROADGREEN UNIVERSITY HOSPITALS NHS TRUST	SOUTHPORT AND ORMSKIRK HOSPITAL NHS TRUST	SPIRE LIVERPOOL	ST HELENS AND KNOWSLEY HOSPITALS NHS TRUST	Total	Actual or intended use of funding withheld
			REM	RBS	RW4	RBQ	REP	RY1	RQ6	RVY	NT337	RBN	£	
			£	£	£	£	£	£	£	£	£	£	£	
	RTT waiting times for non-urgent consultant-led treatment													
E.B.3	<i>Percentage of Service Users on incomplete RTT pathways (yet to start treatment) waiting no more than 18 weeks from Referral</i>	<i>Operating standard of 92% at specialty level</i>												0
	Diagnostic test waiting times													
E.B.4	<i>Percentage of Service Users waiting 6 weeks or more from Referral for a diagnostic test*</i>	<i>Operating standard of no more than 1%</i>												0
	A&E waits													
E.B.5	<i>Percentage of A & E attendances where the Service User was admitted, transferred or discharged within 4 hours of their arrival at an A&E department</i>	<i>Operating standard of 95%</i>												0
	Cancer waits - 2 week wait													
E.B.6	Percentage of Service Users referred urgently with suspected cancer by a GP waiting no more than two weeks for first outpatient appointment	Operating standard of 93%												0
E.B.7	Percentage of Service Users referred urgently with breast symptoms (where cancer was not initially suspected) waiting no more than two weeks for first outpatient appointment	Operating standard of 93%												0
	Cancer waits – 31 days													
E.B.8	Percentage of Service Users waiting no more than one month (31 days) from diagnosis to first definitive treatment for all cancers	Operating standard of 96%												0
E.B.9	Percentage of Service Users waiting no more than 31 days for subsequent treatment where that treatment is surgery	Operating standard of 94%												0
E.B.10	Percentage of Service Users waiting no more than 31 days for subsequent treatment where that treatment is an anti-cancer drug regimen	Operating standard of 98%												0
E.B.11	Percentage of Service Users waiting no more than 31 days for subsequent treatment where the treatment is a course of radiotherapy	Operating standard of 94%												0
	Cancer waits – 62 days													
E.B.12	<i>Percentage of Service Users waiting no more than two months (62 days) from urgent GP referral to first definitive treatment for cancer</i>	<i>Operating standard of 85%</i>												0
E.B.13	Percentage of Service Users waiting no more than 62 days from referral from an NHS screening service to first definitive treatment for all cancers	Operating standard of 90%												0
	Category A ambulance calls													
E.B.15.i	<i>Percentage of Category A Red 1 ambulance calls resulting in an emergency response arriving within 8 minutes</i>	<i>Operating standard of 75%</i>												0
E.B.15.ii	<i>Percentage of Category A Red 2 ambulance calls resulting in an emergency response arriving within 8 minutes</i>	<i>Operating standard of 75%</i>												0
E.B.16	<i>Percentage of Category A calls resulting in an ambulance arriving at the scene within 19 minutes</i>	<i>Operating standard of 95%</i>												0
	Mixed sex accommodation breaches													
E.B.S.1	Mixed sex accommodation breach*	>0												0
	Cancelled operations													
E.B.S.2	All Service Users who have operations cancelled, on or after the day of admission (including the day of surgery), for non-clinical reasons to be offered another binding date within 28 days, or the Service User's treatment to be funded at the time and hospital of the Service User's choice	All Service Users to be offered another binding date within 28 days >0												0
	Mental health													
E.B.S.3	Care Programme Approach (CPA): The percentage of Service Users under adult mental illness specialties on CPA who were followed up within 7 days of discharge from psychiatric in-patient care	Operating standard of 95%												0

Funding retained is used within the ambit of the purposes for which the CCG uses its overall financial allocation

Report on financial sanctions imposed by commissioners on providers under the NHS Standard Contract for failure to achieve national standards
2016/17 Quarter 2

Ref	Schedule 4B National Quality Requirements	Threshold	AINTREE UNIVERSITY HOSPITAL NHS FOUNDATION TRUST	ALDER HEY CHILDREN'S NHS FOUNDATION TRUST	MERSEY CARE NHS TRUST	LIVERPOOL HEART AND CHEST HOSPITAL NHS FOUNDATION TRUST	LIVERPOOL WOMEN'S NHS FOUNDATION TRUST	LIVERPOOL COMMUNITY HEALTH NHS TRUST	ROYAL LIVERPOOL AND BROADGREEN UNIVERSITY HOSPITALS NHS TRUST	SOUTHPORT AND ORMSKIRK HOSPITAL NHS TRUST	SPIRE LIVERPOOL	ST HELENS AND KNOWSLEY HOSPITALS NHS TRUST	Total	Actual or intended use of funding withheld
			REM	RBS	RW4	RBQ	REP	RY1	RQ6	RVY	NT337	RBN	£	
E.A.S.4	Zero tolerance MRSA	>0	£	£	£	£	£	£	£	£	£	£	£	10,000
E.A.S.5	Minimise rates of Clostridium difficile	Provider specific rate												0
E.B.S.4	Zero tolerance RTT waits over 52 weeks for incomplete pathways	>0												0
E.B.S.7a	All handovers between ambulance and A & E must take place within 15 minutes with none waiting more than 30 minutes	>0												0
E.B.S.7b	All handovers between ambulance and A & E must take place within 15 minutes with none waiting more than 60 minutes	>0												0
E.B.S.8a	Following handover between ambulance and A & E, ambulance crew should be ready to accept new calls within 15 minutes and no longer than 30 minutes	>0												0
E.B.S.8b	Following handover between ambulance and A & E, ambulance crew should be ready to accept new calls within 15 minutes and no longer than 60 minutes	>0												0
E.B.S.5	Trolley waits in A&E not longer than 12 hours	>0												0
E.B.S.6	No urgent operation should be cancelled for a second time	>0							10,000					10,000
VTE	VTE risk assessment: all inpatient Service Users undergoing risk assessment for VTE, as defined in Contract Technical Guidance	Operating standard of 95%												0
	Duty of candour	Each failure to notify the Relevant Person of a suspected or actual Reportable Patient Safety Incident in accordance with Regulation 20 of the 2014 Regulations												0
	Completion of a valid NHS Number field in mental health and acute commissioning data sets submitted via SUS, as defined in Contract Technical Guidance	Operating standard of 99%												0
	Completion of a valid NHS Number field in A&E commissioning data sets submitted via SUS, as defined in Contract Technical Guidance	Operating standard of 95%												0
	Completion of Mental Health Services Data Set ethnicity coding for all detained and informal Service Users, as defined in Contract Technical Guidance	Operating standard of 90%												0
	Completion of IAPT Minimum Data Set outcome data for all appropriate Service Users, as defined in Contract Technical Guidance	Operating standard of 90%												0
E.H.4	Early Intervention in Psychosis programmes: the percentage of Service Users experiencing a first episode of psychosis who commenced a NICE-concordant package of care within two weeks of referral	Operating standard of 50%												0
E.H.1	Improving Access to Psychological Therapies (IAPT) programmes: the percentage of Service Users referred to an IAPT programme who are treated within six weeks of referral	Operating standard of 75%												0
E.H.2	Improving Access to Psychological Therapies (IAPT) programmes: the percentage of Service Users referred to an IAPT programme who are treated within 18 weeks of referral	Operating standard of 95%												0
	Full implementation of an effective e-Prescribing system for chemotherapy across all relevant clinical teams within the Provider (other than those dealing with children, teenagers and and young adults) across all tumour sites	Failure to produce a robust implementation plan, by 30 June 2016, to achieve full implementation as described under Service Specification B15/S/a Cancer: Chemotherapy (Adult) by 31 March 2017												0
	Full implementation of an effective e-Prescribing system for chemotherapy across all relevant clinical teams within the Provider dealing with children, teenagers and young adults across all tumour sites	Failure to produce a robust implementation plan, by 30 September 2016 to achieve full implementation as described under Service Specification B15/S/b Cancer: Chemotherapy (Children, Teenagers and Young Adults) by 30 September 2017												0

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Report on financial sanctions imposed by commissioners on providers under the NHS Standard Contract for failure to achieve national standards
2016/17 Quarter 2

Ref	Service Condition	Threshold	AINTREE UNIVERSITY HOSPITAL NHS FOUNDATIO N TRUST	ALDER HEY CHILDREN'S NHS FOUNDATIO N TRUST	MERSEY CARE NHS TRUST	LIVERPOOL HEART AND CHEST HOSPITAL NHS FOUNDATION TRUST	LIVERPOOL WOMEN'S NHS FOUNDATIO N TRUST	LIVERPOOL COMMUNITY HEALTH NHS TRUST	ROYAL LIVERPOOL AND BROADGREEN UNIVERSITY HOSPITALS NHS TRUST	SOUTHPORT AND ORMSKIRK HOSPITAL NHS TRUST	SPIRE LIVERPOO L	ST HELENS AND KNOWSLEY HOSPITALS NHS TRUST	Total	Actual or intended use of funding withheld	
			REM	RBS	RW4	RBQ	REP	RY1	RQ6	RVY	NT337	RBN	£		
			£	£	£	£	£	£	£	£	£	£	£		
	Service Condition 36.38 Never Events	SC36.38 If a Never Event occurs, the relevant Commissioner may deduct from payments due to the Provider, in accordance with Never Events Policy Framework, a sum equal to the costs to that Commissioner of the procedure or episode (or, where these cannot be accurately established, £2,000) plus any additional charges incurred by that Commissioner (whether under this Contract or otherwise) for any corrective procedure or necessary care in consequence of the Never Event.												0	Funding retained is used within the ambit of the purposes for which the CCG uses its overall financial allocation

TOTAL SANCTIONS	-	-	-	-	-	-	-	-	20,000	-	-	-	20,000	
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