

Ref: CCG May15

Corporate Services
NHS Liverpool Clinical Commissioning Group
The Department
Lewis's Building
Renshaw Street
Liverpool
L1 2SA

Email: foi@liverpoolccg.nhs.uk

9 June 16

Re: Freedom of Information Request

Thank you for your Freedom of Information request that we received on 16 May 2016, with regards to breaches of the Data Protection Act.

Request/**Response**

We would like to request the following information from Liverpool CCG

I am writing under the Freedom of Information Act 2000 to request details of breaches of the Data Protection Act within in your organisation; specifically I am asking for:

1a. Approximately how many members of staff do you have?

153

1b. Approximately how many contractors have routine access to your information?
(see www.suresite.net/foi.php for clarification of contractors if needed)

As you will see from our annual report and accounts 2015/2016 we have a total of 69 engagements by contractors during the year – see page 76
<http://www.liverpoolccg.nhs.uk/media/1737/liverpool-ccg-annual-report-and-accounts-2015-2016.pdf>

2a. Do you have an information security incident/event reporting policy/guidance/management document(s) that includes categorisation/classification of such incidents?

Yes

2b. Can you provide me with the information or document(s) referred to in 2a? (This can be an email attachment of the document(s), a link to the document(s) on your

publicly facing web site or a 'cut and paste' of the relevant section of these document(s))

Please see attached

- 3a. Do you know how many data protection incidents your organisation has had since April 2011? (Incidents reported to the Information Commissioners Office (ICO) as a Data Protection Act (DPA) breach). Answer: Yes, No, Only since (date):

Yes but only since 1 April 2013.

NHS Liverpool CCG was established in April 2013, therefore we only hold this information from 1 April 2013 onwards.

- 3b. How many breaches occurred for each Financial Year the figures are available for?
Answer FY11-12: FY12-13: FY13-14: FY14-15:

None

- 4a. Do you know how many other information security incidents your organisation has had since April 2011? (A breach resulting in the loss of organisational information other than an incident reported to the ICO, eg compromise of sensitive contracts or encryption by malware). Answer: Yes, No, Only since (date):

Yes but only since 1 April 2013 - 9

- 4b. How many incidents occurred for each Financial Year the figures are available for?
Answer FY11-12: FY12-13: FY13-14: FY14-15:

2013-2014 = 8
2014-2015 = 1

- 5a. Do you know how many information security events/anomaly your organisation has had since April 2011? (Events where information loss did not occur but resources were assigned to investigate or recover, eg nuisance malware or locating misfiled documents). Answer: Yes, No, Only since (date):

No

Please note: we outsource our IT services to Informatics Merseyside (IMerseyside) and we do not hold this information, you may wish to contact this information from our outsourced organisation for a consolidated return. The contact information is below:

freedomofinformation@merseycare.nhs.uk

- 5b. How many events occurred for each Financial Year the figures are available for?
Answer FY11-12: FY12-13: FY13-14: FY14-15:

Please note: we outsource our IT services to Informatics Merseyside (IMerseyside) and we do not hold this information, you may wish to request this information from our outsourced organisation for a consolidated return. The contact information is below:

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- 6a. Do you know how many information security near misses your organisation has had since April 2011? (Problems reported to the information security teams that indicate a possible technical, administrative or procedural issue). Answer: Yes, No, Only since (date):

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freedomofinformation@merseycare.nhs.uk

- 6b. How many near-misses occurred for each Financial Year the figures are available for?
Answer FY11-12: FY12-13: FY13-14: FY14-15:

Please note: we outsource our IT services to Informatics Merseyside (IMerseyside) and we do not hold this information, you may wish to request this information from our outsourced organisation for a consolidated return. The contact information is below:

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We wish to take this opportunity to inform you that a formal complaints and internal review procedure is available to applicants who are unhappy with responses provided to FOI requests. You can formally request an internal review within a reasonable period of time (2 calendar months) from the date this response was issued.

Where you are not satisfied with the decision of the internal review you may apply directly to the Information Commissioners Office (ICO) for a further review of that decision. Generally, the ICO cannot make a decision unless you have exhausted our complaints procedure in the first instance.

The ICO can be contacted at:

Information Commissioners Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF
www.ico.gov.uk

Should you require any further information, clarification regarding this response or do not feel that your request has been answered as you would expect, please contact us to discuss.

Yours sincerely,

Customer Relations Lead

