

**Ref: CCG Jun07**

Corporate Services  
NHS Liverpool Clinical Commissioning Group  
The Department  
Lewis's Building  
Renshaw Street  
Liverpool  
L1 2SA

Email: [foi@liverpoolccg.nhs.uk](mailto:foi@liverpoolccg.nhs.uk)

14 June 2016

**Re: Freedom of Information Request**

Thank you for your Freedom of Information request that we received on 7 June 2016, with regards to Compromise Agreements.

Request/**Response**

Liverpool CCG's use of compromise agreements (settlement agreements)

Please advise in relation to the 3 financial years between April 2013 and March 2016 inclusive:

- 1) How many compromise agreements has the CCG entered into with staff or former staff? Please include all COT3 agreements in this figure.

**1**

- 2) How many of these compromise agreements require staff members not to disclose the existence of the compromise agreement itself?

***Both parties agreed to keep the details of the agreement confidential.***

- 3) How many of these compromise agreements contain non-disparagement clauses that require staff members not to criticise the employees of the CCG?

***Both parties agreed to not make, publish or communicate any disparaging statements about employees***

- 4) How many of these compromise agreements were entered into by the CCG with staff who had previously made public interest disclosures, (whether or not these were raised by formally invoking the CCG's whistleblowing policy)?

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- 5) If staff who have entered into a compromise agreement with the CCG were to voice concerns about reprisal by the CCG for whistleblowing, would the CCG consider this to be an actionable breach of non-disparagement clauses, or would it consider the raising of such concerns to be qualifying disclosures under PIDA?

***Not applicable, see above.***

We wish to take this opportunity to inform you that a formal complaints and internal review procedure is available to applicants who are unhappy with responses provided to FOI requests. You can formally request an internal review within a reasonable period of time (2 calendar months) from the date this response was issued.

Where you are not satisfied with the decision of the internal review you may apply directly to the Information Commissioners Office (ICO) for a further review of that decision. Generally, the ICO cannot make a decision unless you have exhausted our complaints procedure in the first instance.

The ICO can be contacted at:

Information Commissioners Office  
Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF  
[www.ico.gov.uk](http://www.ico.gov.uk)

Should you require any further information, clarification regarding this response or do not feel that your request has been answered as you would expect, please contact us to discuss.

Yours sincerely,

**Customer Relations Lead  
NHS Liverpool CCG**