

### **Data Protection Impact Assessment (DPIA): Prescribing Projects Information Flow DPIA Summary**

*LCCG wishes to extract a new flow of patient level data which will be processed by clinicians who are contracted to Liverpool Clinical Commissioning Group in relation to specific projects directed by the Prescribing Team. The purpose of this processing is to provide the GP Practice with a list of patients (as identified by their EMIS number) where actions are required in relation to the project specified.*

*Legal basis for processing:-*

*GDPR 6(1)(e): Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller*

*GDPR 9(2)(h): Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.*

### **Data Protection Impact Assessment (DPIA): Tractivity Information Flow DPIA Summary**

The CCG utilises a stakeholder database to help manage stakeholder communication activities, the database allows the CCG to effectively manage and keep accurate record of all stakeholder communication activities. Currently it is used to hold contact information for member practices, and CCG staff who receive the CCGs fortnightly newsletter.

Legal basis for processing:-

GDPR Article 6(1)(f) Necessary for the purposes of legitimate interests.

### **Data Protection Impact Assessment (DPIA): Liaison G-Cloud 11 Information Flow DPIA Summary**

The purpose of this DPIA was to enable the data flow to review any errors or inaccuracies in financial records to enable correction and potentially recovery of any overpayments, and improve controls over Continuing Healthcare (CHC) and Funded Nursing Care (FNC) Costs.

GDPR Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

## **Data Protection Impact Assessment (DPIA): Shielded Patients Information Flow DPIA Summary**

During the COVID-19 Pandemic the CCG was required to facilitate the sending of shielding letters to patients who were identified via clinical coding to be at very high risk of COVID-19.

Legal basis for processing:-

GDPR Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

GDPR Article 9(2)(h) Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.

## **Data Protection Impact Assessment (DPIA): Vaccination and Immunisation Project Information Flow DPIA Summary**

This is an existing work stream where data has previously been flowing, but the recipient of the data has changed, and is South, Central West Commissioning Support Unit. The aim of this project is to ensure that the correct children are targeted for immunisation.

GDPR Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

GDPR Article 9(2)(h) Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.

## **Data Protection Impact Assessment (DPIA): Agile Trial Project Information Flow DPIA Summary**

The purpose of this flow was for the CCG to share information of COVID positive symptomatic patients, who met a set criteria to be considered to take part in a trial drug therapy, the patients provided verbal consent for their information to be shared with the School of Tropical Medicine.

GDPR Article 7(1) Where processing is based on consent, the controller shall be able to demonstrate that the data subject has consented to processing of his or her personal data.

## **Data Protection Impact Assessment (DPIA): Care Homes - Mersey Care Information Flow DPIA Summary**

This was a new patient flow, the CCG assisted with the flow of data of patients living in Care Homes. The flow of data is to assist the clinical management of people living in nursing or residential homes, with the overarching aim to implement a standardised Care Home Advanced Model of Provision.

GDPR Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

GDPR Article 9(2)(h) Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.

## **Data Protection Impact Assessment (DPIA): Decisions Research Project Information Flow DPIA Summary**

This was a new patient flow, the CCG assisted with providing patient data of over 18 year old who have atrial fibrillation, valvular heart disease or peripheral vascular disease. The flow of information was for research purposes only, and was a one-off extract. The research project was to develop a comprehensive intervention to support individuals with anticoagulation to prevent stroke, by co-designing principles to develop a care pathway and a resource guide to improve uptake.

GDPR Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

GDPR Article 9(2)(h) Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.

GDPR Article 9(2)(j) Necessary for archiving purposes in the public interest, or scientific and historical research purposes or statistical purposes in accordance with Article 89 (1).

The Common Law Duty of Confidentiality is recognised and addressed by implied consent for direct patient care.

## **Data Protection Impact Assessment (DPIA): Joint Booking System (JBS) (Simply Book) Integrated Platform Information Flow DPIA Summary**

A universal booking system that will be integrated with existing Lab IT systems and link to Combined Intelligence and Population Health Action (CIPHA). The primary use of the system will support a new estate of multi-functional geographic/mobile sites that will deliver a range of services, including winter pressure services, such as

Polymerase Chain Reaction (PCR) swabbing, Antibody Testing, Flu and, when available COVID-19 mass vaccinations. The secondary use is to support the booking of diagnostic and routine phlebotomy services by NHS Patients in a primary care setting.

The platform has the flexibility that it can be used in the future to support any appointment booking that is linked to CIPHA capabilities.

GDPR Article 6(1)(a) – Data subject has given consent to the processing of his or her personal data for one or more specific purposes.

GDPR Article 9(2)(a) – Data subject has given explicit consent to the processing of those personal data for one or more specified purposes.

### **Data Protection Impact Assessment (DPIA): Non-Medical prescribing Information Flow DPIA Summary**

This DPIA is to change the processor of the data flow which enable pharmacy technicians (in MLCSU) access to practitioner prescribing information (via the database ePACT2) for the purpose of populating a quarterly report that allows the Liverpool Medicines Optimisation Committee to oversee prescribing in key areas by non-medical prescribers

GDPR Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller

### **Data Protection Impact Assessment (DPIA): Learning Disability Information Flow DPIA Summary**

The purpose of this flow is to assist the Community Learning Disability Team to support General Practice to undertake physical health checks. The Learning Disability Team will be carrying out pre-health check screenings to support risk management and help General Practices prioritise their patient Learning Disability registers for annual health checks.

Article 6(1)(e) Necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.

Article 9(2)(h) Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.

### **Data Protection Impact Assessment (DPIA): Action on Hearing Loss Information Flow DPIA Summary**

Template DPIA for General Practice to download and utilise to enable them to engage interpreters to support a 3 way video link consultation between remote patients, clinicians and interpreters, via Microsoft Teams.

Article 6(1)(e) Public task: the processing is necessary for you to perform a task in the public interest or for your official functions, and the task or function has a clear basis in law. (Official authority).

GDPR Article 9(2)(h) Necessary for the reasons of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.